Memorandum on "How to Live with the Bomb"

That a war in which America and Russia are lined up on opposite sides might lead to an all-out atomic catastropwe is well understood today, and few people believe that either America or Russia will deliberately precipitate such a war. Moreover in the present circumstances, it is likely that both America and Russia will become more and more reluctant to take the kind of calculated risks which might lead to war -- risks of the sort that they did not hesitate to take in the past. Such an attitude may well postpone an all-out war, but it is not likely to avert it if we persist in our present basic strategy concerning the use of the bomb in the foreseeable contingencies.

In the absence of a political settlement between Russia and America, it is very likely that perturbances will continue, and that one of these perturbances will lead to military action and to military intervention on America's part and Russia's part on opposite sides.

In such a contingency, according to the prevailing strategy, America would try to limit the fighting to the local area and fight the war there with atomic bombs. It is my contention that there is an inherent instability in this strategy, and that an atomic war fought initially as a local war is likely to trigger all-out atomic destruction. Let us imagine, for instance, that Russia should move troops into Iran and that we are committed to defend Iran, and let us make the optimistic assumption that we shall refrain from bombing any of the Russian bases from which the operations are conducted against Iran or the Russian transport centers. As the war goes on more and more American soldiers,

as well as more and more Russian soldiers will be killed. The more soldiers are killed the more difficult it will be for either America or Russia to stop the war and concede defeat. In a war where men are killed, emotions are aroused and they increase in intensity until finally rational considerations are abandoned, and the initial limitations imposed by strategy for the sake of avoiding

At the start of the war we may well have imposed on our strategy limitations because we know that without these limitations the situation will become more and more unstable, until finally one of our acts of war or one of Russia's acts of war will trigger an all-out atomic attack. Under the pressure of increasing emotions, and emotions will mount as more and more blood is spilled, one limitation after another will be abandoned, either under public pressure or through a patriotic disregard of orders by commanders in the field. We might avoid this fate with luck if, as is perhaps not unlikely, in the course of a war fought in the local area, the local area will be more and more destroyed then when destruction is almost total, it might be possible for Russia and America to agree that both abandon the territory which has become utterly devasted and valueless for anybody. This might easily happen, and it is perhaps the only outcome of the war that will save our cities from destruction. One might well ask why, if this he so, we do not adopt/publicly proclaim, in place of the above described strategy, a much simpler and cheaper one. Why do we not publicly proclaim that if Iran is invaded - assuming Iran is under our protection - we shall devastate Iran by bombs from the air until the country is unlivable, and thereby deprive Russia of the enjoyment of the fruits of ber conquest.

The answer to this, I believe, is simple. To publicly proclaim a strategy which runs counter to the elementary requirements of morality is politically impossible. In politics one may frequently do things which are morally wholly unjustified and get away with it, but just because one may do them, it does not follow that one may also say them with impunity. Statesmen endanger their political survival far more through what they way than what they do.

That destruction of the local area in which the fighting occurs could be avoided if great care is exercised is conceivable, but it is not likely that it will in fact be avoided. We have seen how we have destroyed the city of Seoul in South Korea for the sake of breaking through just a few days earlier, even though we expected ultimately to win the war. Why should the side which is unable to win the war exercise great care to avoid destruction? Suppose we felt that we were losing the war and had to abandon a country essentially intact to Russian rule. How could we justify conceding defeat after many of our men had died? Could we lreally ask our men to lay down their lives just to make Russia pay a high price for achieving her objective, and thereby make it less likely that she will not repeat the performance elsewhere? Could we really ask men to die for consideration that are as abstract as this one? I doubt very much that we would do this. If we could not win the war, we would prefer to let Russia come to a country which has been rendered valueless, and our only choice might be doing just this or expanding the war and risking the triggering of an all-out atomic catastrophe. One might well ask: why fight such a war at all? Why not simply declare that if Iran is invaded by Russia, provided of course that IIran places herself under our protection, we shall destroy Iran by bombardment from the air without sacrificing a single soldier, thereby depriving Russia of the fruits of her conquest. The answer is hot difficult to guess, for if Iran were told in advance her fate, she would not place herself under our protection and could be conquered by Russia. I am discussing this matter here from a military point of view, leaving political considerations out of the picture.

From a military point of view we must examine all conceivable it contingencies rather than examine what is likely to happen or what bad things are likely to happen, and through what political action we could make them less likely to happen. There is no earthly reason why we could not pursue a policy that would leave Russia no incentive to move militarily into Iran, and as a matter of fact I do not think there is any incentive for Russia to move into Iran.

I have chosen Iran as an example precisely because this is the case; it is far easier to discuss dispassionately a contingency that is manifestly unlikely to occur than a contingency that is likely to occur.

We are rapidly moving now toward a situation where America and Russia will be able to destroy each other to any desired degree and when neither can cripple through one single plow or even through repeated blows the ability of the other to retaliate. I am not saying that we have reached this stage as yet, but with the technical knowledge at present at hand, such a strategic stalemate can be reached if only America and Russia consciously pursue the goal to attain it and devote a sufficiently large fraction of their defense budget to the achievement of this goal. It is my contention that such a strategic stalemate will -- depending on the basic philosophy which we have adopted concerning the potential use of the bomb in the foreseeable contingencies -- either be unstable and lead to an all-out atomic catastrophe in spite of the desire of America and Russia to avoid it, or else it can be perfectly stable and for the first time in history eleminate the vicious circle of the power conflicts which have led in the past to war between the great powers. Such a stable solution is only possible if we somehow rid ourselves of the memory of the past

which made the atomic bomb into a symbol of mass murder, and recognize that, although force may be used and although the temptation to use atomic bombs because they are the most potent and cheapest form of force cannot be expected to be resisted, it is possible to adopt a strategy concerning the use of the bombs which is inherently stable, and although it involves the possibility of using the bombs in certain contingencies as an instrument of demolition, it makes it possible to achieve the required stability without threatening -- in any contingency -- and resorting to a war in which people are killed. If this indeed be true, why has it not been proposed before? What are the psychological blocks that have kept people from examining dispassionately the requirements of stability which clearly should be the over-riding consideration?

I shall now try to answer these questions before returning to the main theme of this article. Bertram Russell describes in his book, Icarus, how fixed price came to be introduced in England. Up to that time it took protracted negotiations to purchase a pair of shoes. The shopkeeper asked a price which was way too high; the buyer offered a price which was way too low. Through negotiation, finally, an agreement was reached on a price chose to the true value of the merchandise. Some Quaker shopkeepers, who felt it was "wrong" to ask for any merchandise more than it was worth, began to offer fixed prices. This saved the public an unnecessary waste of time, they favored the shops which offered fixed prices, and the Quaker merchants became prosperous. Russell remarks that the same conclusion could have been reached by any merchant on the basis of enlightened self-interest, but it remains a fact that the conclusion was not reached on this basis.

This is hardly surprising for men are not rational beings. They are governed by traditions, precedence, emotions and desires, and the number of those who are capable of a rational pursuit of enlightened self-interest is so few that their contribution to social progress is neglegible. The number of those men who have a passion for doing the right thing is not large either, yet they outnumber the former and have a measureable effect on human progress. Unfortunately, any new basic philosophy concerning the bomb must be adopted by governments in order to be effective, and governments have no passion for doing the right thing. Governments are not men although they are composed of men. We cannot expect any government to have a passion for doing the right thing, and the only basis on which we can appeal to governments is on the basis of their enlightened self-interest. But inasmuch as they are composed of men who find it difficult to rationally pursue enlightened self-interest, they will find it difficult to do so; yet this is what they need to do.

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We can appex therefore appeal to governments only on the grounds of their enlightened self-interest and even then, regardless of how strong our case may be, we still might fail. For government is composed of men, and man is not given to rational considerations of his self-interest. If his emotions are involved and if he has the right emotions, he will have little trouble in discovering what needs to be done; just by following his heart. But if he has the wrong emotions or desires, and if he tries to use his brain, more likely than not he will be tripped up by his desires and come out with the wrong conclusions. Scientists, I regret to say, are not immune to this weakness, which may be seen if they step out side of science.

A. H. Compton, in his book "Atomic Quest", writes: "Now, why could they not think of a way? Of course, they may not have even known that Japan was actually suing for peace but everybody, including the Japanese, knew that Japan could not win the war and was in fact losing the war. When such a point is reached, bringing the war to an end ceases to be a military problem. When such a point is reached, bringing the war to an end becomes a political problem, and the responsibility for it ought to be shifted from the War Department to the State Department. Why could these physicists not have spoken as follows: "The war against Japan is won. There remains to negotiate peace. We suggest that our government contact the Japanese government, not by way of radio announcements but through diplomatic channels, and make the following proposal: 'We are ready to enter into peace negotiations with Japan. However, we have one condition. Before we start these negotiations, we want to demonstrate to the

Japanese G overnment a new type of bomb which is far more destructive than any heretofore built. We propose that the Japanese Government designate a city of about 100,000 inhabitants, remove the inhabitants from the city, send observers to watch the projected demonstration - who are advised to stay ten miles away from the center of the city. If the Japanese Government agrees to this demonstration, we shall send a single bomber, accompanied by an observer plane; only one bomb will be dropped, and our planes will then return. Subsequent to this demonstration, we shall then be ready to enter into peace negotiations. We feel that it is only fair that we acquaint Japan with the destructive power of our new bombs in order to enable Japan to conduct the peace negotiations on our part on a realistic basis'."

Now why could such a course not have been adopted? Henry Stimson, who was at that time Secretary of War, M has given his reason but his reason is an insult to our intelligence. At the time when we had to decide about dropping the bomb, so Mr. Stimson explains, we had only two bombs. Since we had tested only one bomb, we could not be sure that these bombs were not duds, and therefore we could not have staged a demonstration of the sort here indicated without risking a loss of face. Why we could not have waited a few weeks, and tested a second bomb and, if need be, a third and fourth bomb before we staged such a demonstration, Mr. Stimson does not say. It might well be that the course of action here proposed would have involved a delay; not the delay necessitated by making more bombs, but the delay involved in reaching an agreement within our own government, and secondly between our own government and our allies.

inconvenience and delay

There may have been considerations involved other than the desire to avoid the few weeks' delay that the manufacture of further bombs would have necessitated. In order to negotiate peace with Japan, it would have been necessary to go to the inconvenience of negotiating first of all within our own government, and secondly between our own government and our allies the peace terms to be proposed to Japan. Our government had to balance our desire to bring the war to an end as soon as possible on the basis of unconditional surrender at the cost of setting up a precedent of the use of the bomb as an instrument of mass murder, against the inconvenience of having to reach, within our own government and subsequently between our own government and our allies, the basic terms for a negotiated peace with Japan without leaving moral considerations out of account. The decision reached can hardly fail to offend the sense of proportions of those who possess such a sense. In a world where political decisions ar3 based on force and might rather than right -- and this is the world in which we are bound to live for the time being -- we could have lived much easier with the bomb if the bomb had been demonstrated as a symbol of demolition rather than being made a symbol for mass murder. Because the bomb has become a symbol of mass murder, it will now be very difficult to think about the bomb -- on how to live with the bomb -in a rational and dispassionate manner.

This is precisely what we must now do if we want to escape an all-out atomic catastrophe. Because the bomb is wholly unprecedented, if we want to live with it and remain at peace, we must do things which are wholly unprecedented. If we are willing to do this, we might be able to achieve a situation which is the attempt might provide us with an entirely new tool of policy. It

might well change the basic premise on which the foreign policies of the great powers were based in the past, and eliminate the instability inherent in those basic premises.

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I do not wish to discuss here the moral issue raised by the use of the bomb, but I want to say this: can anyone in his right mind doubt that had Germany got the bomb first and used it for destroying an American city in the way we destroyed Hiroshima, after the defeat of Germany the destruction of a city by atomic bombs would have been defined as a war crime, and those Germans responsible for this crime would have been tried at Nuremberg and hanged.

rephrasing

just prior to losing the war that had Germany/somehow made a few bombs and destroyed an American city in the way in which we destroyed Hiroshima

After the war most scientists, both those who were opposed to the dropping of the bomb and those who did not oppose it, devoted their main attention to the problem of how to rid the world of this instrument of mass murder.

Baruch negotiations
The 4th premise
Maybe we ought not

That this was not the actual course of events might turn out to have disastrous consequences for the world. If the Germans had been the only ones to engage in mass murder, the world could have said: "What else do you expect to the Huns?" As it is, the Germans might have been the first to engage in terror bombings for the sake of accelerating the end of the war when their dive bombers destroyed a large section of Rotterdam, but if it is the Germans who invented this method of warfare, it is the British and the Americans who, by resorting to it themselves, made it respectable. Having abolished the moral barrier to the use of the bomb as an instrument of mass murder, we are now faced with the tedious task of convincing the governments of the great powers that enlightened self-interest demands the adoption of a new philosophy concerning the use of the bomb which entails its diversion from a blunt instrument of mass murder into a less exciting, but by no means less potent, instrument of demolition.

because of the manner in which the bomb was used, to the issue of getting rid of the bomb, and I know of no one who was willing to examine dispassionately the question of whether the bomb, cleansed of its bloody connotation, might not provide us with a new basis for a stable peace. To some extent this preoccupation with atomic disarmament was justified even though it was clear that in a certain sense we cannot ever get rid of the bomb. For, once we have learned how to make some the bomb and unless somehow we can contrive/how to forget it, in a sense the bomb will remain with us. For ever thereafter, if war should break out for any of the same reasons for which war broke out in the past, even if there are no bombs in existence at the outset of the war, the war might well turn into an atomic war and an all-out atomic catastrophe.

But what concerned scientists most was the danger that a war between an America and Russia might break out as a result of/atomic arms race, and indeed I am not so sure that this would not have kee happened had there been a protracted period after the first Russian atomic bomb had been tested.

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had the first Russian atomic bomb test been followed by a protracted period during khimks which Russia would have gradually built up her stockpile of atomic bombs. Perhaps no one in America would have advocated a preventive war and few might have advocated it in private, but if this critical period had lasted long enough, America would have displayed an increasing inclination to make stiff demands and take calculated risks. Somewhere in the subconscious of the statesmen a voice would have said: "either we get what we want - well and good -- or we do not, and at least we have the war at a time when it does not as yet endanger our national existence.

Right now we are past the point where a war is likely to arise as a result of the atomic arms race itself. So it seems to me the time has come for scientists to dispassionately reexamine what needs to be done

and this is a good time perhaps for scientists to reassess the situation which we actually face as well as to try to discover what needs to be done.

The public discussion of such issues as "shall we or whall we not stop testing hydrogen bombs", which preceded the last American elections, has tended to confuse further the real issues. Those who advocated stopping all bomb tests implied that this was meant to be a first step towards getting rid of the bomb altogether. The implicit premise of

all such discussions is the notion that if only America and Russia could devise/foolproof inspection system so that secret violations of an disarmament agreement could be detected with great assurance, both we and Russia might then be willing to get rid of the bomb and be satisfied with possessing conventional, non-atomic weapons. lieve that this premise is not correct. I believe that niehter Russia nor America would be willing to get rid of their bombs even though they could be sure that their adversary is getting rid of his bombs also, and moreover I suspect that getting rid of the bomb is far from being the best course of action that kasnkag is open to them in the present circumstances. Kar Russia and America are on the verge of reaching an agreement on disarmament, it is likely to be the kind of agreement which eliminates from their ammammax armaments bows and arrows. If it should really turn out that they are thinking of reaching an agreement that would eliminate bomb tests and would eliminate the development of new types of bombs and bomb tests, they had better think again before they go forward with such an agreement, for I am convinced that the issues involved here have not been thought through ... so far have not even been touched upon in public discussions of the bomb, and I strongly suspect they have not been thought through either in Washington or Moscow. There was a time early after the war when men influential in our government were willing to enter into an agreement with Russia for the elimination of the bomb. According to the official estimate, it would have taken Russia 7-15 years to produce its her first bomb, and when the time came where Russia could mass-produce these bombs, then many thought we should be willing to give up our bombs in return for keeping Russia from making bombs. Whether the influence of

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Not all governments are alike in this respect; there are those that do not believe that God exists, and those that do not believe that God matters. But the difference is at best quantitative. It relates to the amount of incentive to which they respond but not to the quality of their response.

of these men would have been strong enough to sway the government of the United States, I could not say. In our negotiations on the Baruch plan, we talk about stages but we never define the time scale and we never stated at what point we would be willing to give up the bombs. Why the Russians did not force us to clarify this point, we shall probably never learn.

There is no indication that the Baruch plan was ever cleared with the Senate leadership, as one would have expected had the administration reached a concensus that they wanted to go through with it. Initially I thought that it was not in the interests of Russia to remain without atomic bombs because atomic bombs were the only means by which they could carry Kan the war, in which America and Russia are lined up on opposite sides, to American territory. But later on I permitted myself to become convinced that Russia would have welcomed some arrangement that would have permitted the world to get rid of the bomb. The Russians did not know fo r sure how long it would take them to get the bomb, and instead of pursuing rational considerations of enlightened self-interest, they were thinking about future wars in terms of past wars; wars which Russia had won by retreating within her own vast territory rather than by carrying the war to someone else's territory. So it is probably true that for a short period after the war it would have been possible to get rid of the bomb had we really wanted to badly enough to be willing to be flexible in our demands. But this period. if it ever really existed at all, existed at best for a very short length of time. Soon thereafter more and more men in our government began to look upon the bomb as an instrument for safeguarding Thinking in military terms rather than in political western Europe. terms, they posed this question of how we could defend Western Europe

in the conceivable -- although not necessarily likely/contingency of invasion by Russian troops. Massive retaliation was their handy answer, and this of course meant keeping possession of the bomb and increasing as fast as we could our stockpile of bombs. As soon as this thought became prevalent, the fate of the Baruch plan was sealed. The negotiations were continued for a long time thereafter, and whatever was said in these negotiations was said for the record. On whom we hoped to make a favorable impression with this record has so far remained a mystery to me. Those who advocated the policy of massive retaliation felt that it was perfectly permissible for us to destroy every Russian city and itsnx their inhabitants for the sake of protecting against invasion the territory of one of our allies. It is not surprising that governments should consider such an attitude permissible, for governments are not persons, but it is a different matter for individuals who have no governmental responsibility to acquiesce in such a policy. At times I am inclined to doubt their sanity. It is as if a man left his apartment in town to go away for an extended vacation, and gave notice to burglars that he has placed a massive high explosive in his apartment and has arranged matters so that if a burglar forcibly opens the door of the apartment the explosive will be detonated and will kill not only the burglar but all the innocent tenants of the apartment house. Even though this might be a very effective way to deter burglars, it is permissible to doubt the the sanity of the author of the notice. The threat of mass retaliation must, of course, lose its deterrent action when the nation which is supposed to be deterred can mass retaliate also. The threat of murder and suicide can hardly be assumed to be effective.

Because initially after the war the scientists were obsessed with getting rid of the bomb, it did not occur to any of them that bombs could be produced in such large quantity that some day they might be used as weapons in the area of combat rather than merely as instruments for the strategic destruction of cities. The author regrets to say that he was among those who overlooked this possibility, and that for this he can claim only scant excuse.

As the threat of massive retaliation began to lose its effectiveness, the emphasis shifted to the employment of atomic weapons in the combat area and to the prevailing policy of trying to fight a war in the very spot where the armed conflict arises, and then somehow attempt to localize the war. Provided we are willing, if need be, to lose such a war and limit in each case our investment and the right of our losses, a small war - so they say - need not g trigger a big one. Just what the ground rules should be far such a war begins to spread, if bombs begin to hit cities, and if an American city is hit leading to the death of hundred of thousands and perhaps millions of people, no one as so far clearly stated. To say that the war will not spread because no one desires that it shall spread, without carefully investigating the problem of stability which is involved approaches, it seems to me, a degree of irresponsibility which may be permitted to our statesmen but which cannot be tolerated among scientists.

We must amanagement that accept, I believe, the premise that force will continue to be employed or held in reserve by the national governments to protect their vital interests and their national integrity. I think we must further accept the premise that large bombs, capable of destroying a city, will be an integral part of that force.

What we need to examine now is this: in the approaching atomic statemate

where Russia can destroy America to any desired degree and American can destroy Russia to any desired degree, is there any basic policy concerning the use of force which America could adopt and Russia could adopt which would render this stalemate stable? Stability means the requirement of stability demands that even if an American city is destroyed or a Russian city is destroyed or if several such cities are destroyed, no matter how great the perturbances the disaster caused, the conflict remains limited and does not deteriorate into an all-out atomic catastrophe.

I shall describe now the rules of conduct which would satisfy this requirement of stability without renouncing the use of force in case force needs to be used. Subsequently, having established that such rules of conduct would stabilize the atomic stalemate, I will try to show in what manner the very existence of the atomic stalemate will make it possible for America and Russia to reach a settlement that could not be reached hitherto. The only purpose - and perhaps the sole content - of the political settlement of which I speak is to make sure that, in case of a military conflict between two or more nations which does not directly involve either America or Russia, Russia and America will not intervene on opposite sides. The policy which I advocate here can be described as follows:

We could say to Russia: "We cannot renounce the use of force in certain contingencies and we may use our large bombs for the purpose of destroying cities but we can do all this henceforth without waging war in the old sense of the word; i.e. without engaging in combat in which soldiers are killed and without killing any innocent civilians. It is clearly in your interests as well as in our interests that neither of us shall henceforth engage in that kind of a war, and we want to explain to you how force and the threat of force can be used by either of us without running the danger of triggering an all-out atomic war."

"There are a number of territories, including of course the territory behind the states which we have determined to protect.

These territories are divided into zones, and we are presenting to you a list of these zones. We trust that you shall not find it necessary to invade any of these zones with your own soldiers, and that you will not permit the invasion of any of these zones by soldiers from any territory under your protection; or if such invasion occurs nevertheless you will withdraw your protection from the invading nation."

"Should you, however, for any reason find yourself forced to invade any of these zones or forced to permit them to be invaded, then we shall destroy a number of your cities. How many cities will be destroyed and how large they may be is stated in a list which is attached. We have divided your cities into ten categories, and if you, for instance, invade Iran, we shall destroy at least three and not more than six of your cities falling in category 4. If you, in fact, invade Iran, we will name the cities that we are going to destroy and we shall allow two weeks' time for you to evacuate the population of these cities. Perhaps from a purely administrative point of view you might find it less troublesome if these cities were bombed without warning so that all of the inhabitants would die because then it would not be necessary to house and feed the refugees, but we are sure that you will see why this would not be desirable."

"We know, of course, very well that in an era of the atomic stalemate the policy here announced would trigger an all-out atomic war unless we were willing on our part to tolerate your destroying as many American cities as we have destroyed in Russia, provided they are cities which fall into the same size category."

"So there shall be no dispute about which city falls into what category, we propose first to sit with you and make up a list of

American cities in categories which, by stipulation, will be regarded as corresponding in size to the Russian cities of the same category.

Unless we reach an agreement with each other on which American city corresponds in size to what Russian city, and vice versa, we might render the atomic stalemate unstable

and thereby eliminate the possibility of any dispute on this score with you which might trigger an all-out atomic war in the case of a local conflict."

"We want you to understand that if you are willing to pay the price listed, you may move into Iran at any time. We shall have no ill feeling about this after having set the price high enough we will have no ill feeling about this but would rather consider you a fool to up such a high price. Nevertheless, if you, in fact, invade Iran - to which we shall respond by destroying between one to three cities of category 4 (just how many we will decide in the light of prevailing circumstances at the time) - you will force us to revise the whole price list upward. You will force us to conclude that we have not set the price high enough, and we may then revise the whole price list upward. Naturally, we reserve the right to revise the price list in any case, at any time, but we shall communicate to you the new price list promptly, and it will not go into effect until after you have received it. You might think it rather odd that we shall be willing to tolerate the destruction of as many of our own cities as we have destroyed of yours, and naturally we wish this were not necessary, but upon further reflection and with the help of your mathematicians, you will undoubtedly come to the conclusion that there is no other way to meet the requirement of stability in an atomic stalemate.

If in an atomic stalemate we do not want to use force and yet want to be sure that the use of force, including the destruction of cities which might occur accidentally or otherwise, does not trigger an all-out atomic catastrophe, it will be necessary for both of us strictly to observe the following rule of conduct:

"If we destroy one of your cities, we shall tolerate the destruction of one of our cities, and if you destroy one or more of our cities, we shall destroy an equal number of your cities (of the same category), and in this manner any perturbation of the stationary state will lead to a new stationary state in which the same number of cities have been lost in Russia as have been lost in America. We hope, of course, that you will not invade any of the territories under our protection, and we certainly do not intend to invade any of the territories under your protection. In this case there will be no destruction anywhere."

"But we cannot foresee the future with certainty. Cities might be destroyed by accident. Catastrophes of this sort can happen acts through mistakes or the making of some overzealous, patriotic commanders, but what we must and can make sure of is that the accident does not trigger an all-out atomic war."

If this were indeed the policy that Russia and America both decide to adopt, nothing better could happen to us than some minor invasion that would put the system to a test. I shall give presently an example of how this might work but, before I do so, it might be well to tell an anecdote with which most children were familiar in the country in which I grew up.

Two men were walking along the road when along came a toad, and as it crossed the road, one man picked it up and handed it to the other. "If you eat the toad" he said "I will give you \$20." Twenty dollars is a lot of money, and so the man pushed the toad into his mouth and tried to swallow it. After working hard he managed to get it down, but even after it was down and in his stomach it seemed to jump around, and the whole thing was really rather horrible. Still he got the \$20 which he put into his pocket. As they walked further along the road, along came another toad. The man who had swallowed the first toad and who could still feel it in his stomach turned to his companion and said, "if you swallow that toad, I will give you \$20." By that time his companion had begun to regret the loss of his \$20., which after all is a lot of money, and so he accepted. He grabbed the toad and pushed it into his mouth, swallowed hard, and finally got it down into his stomach. But even after it was down and in his stomach it kept jumping around. It was really quite horrible. After a while as they kept walking down the road, suddently one of the men turned to the other and asked, "what for did we swallow these toads?"

Were Russia and America to adopt the policy which I am here advocating, I can think of nothing that would do more to insure everlasting peace in the world than the following course of events. Russia, so I would hope, may one day decide to take Iran. Our minimum price for Iran was three Russian cities of category 4, and our maximum price was six. Suppose now, because we are really very indignant, we decide to destroy four Russian cities. As soon as we name the cities about to be destroyed, the Russians will name four American cities in category 4,

and we both begin to evacuate our cities. Housing and feeding the evacuees will put a strain on our administration, but somehow we manage to take care of them. But public indignation finally induces the American government to encourage the south Koreans, whom we are protecting, to invade north Korea . The Russian list has specified that in the case of the invasion of north Korea, they are going to destroy between one and two American cities in category 3, and immediately after the invasion of north Korea they decide to destroy two American cities which they name, and we in turn name the two Russian cities which we are going to destroy. All of these cities are evacuated and we care for the refugees or evacuees as best we can. In the meantime Russia has invaded Iran and with American help south Korea occupies northern Korea. As things begin to calm down, we discover that the independence of Iran is far more valuable to his than the possession of northern Korea. Russia in turn discovers that they care more for northern Korea than they care for Iran. Thus there is a basis for agreement, and we arrange that in return for Russia's evacuation of Iran we shall evacuate north Korea. To whom will it first occur to ask, "What for did we swallow those toads?"

We cannot predict with any degree of certainty, but sooner or later this question will be asked, and a happy day it will be for the cause of peace.

Now imagine if you can that in this manner or in some other manner the atomic stalemate, in which Russia can destroy America to any desired degree and America can destroy Russia to any desired degree, can be stabilized. Then not long thereafter it should be possible to reach a political stalemate with Russia and to make certain that America and Russia will not intervene on the opposite sides in any

military conflicts that might break out between nations that are Hence a settlement under the protection of neither. Singexxxxeatlemnte becomes possible because an atomic stalemate that is stable creates an entirely new situation in the world, from which we have eliminated the vicious circle in which the great powers found themselves entangled in the past whenever a power conflict arose. A classical example for the operation of this vicious circle is afforded by the history of the Sparta Peloponnesian War, which centered around Athens and Spara, and which destroyed Greece. Neither Sparta nor Athens wanted war but the rising power of Athens threatened Sparta and the rising power of Sparta threatened Athens. Because war between them had to be regarded as possible, if not probable, each tried to increase the number of her allies and to improve her strategic position. If any conflict which arose between them was settled one way or another, it either increased Sparta's chances to win the war or it increased Athens chances to win the war. Since the issue of who is gong to win a war cannot be stttled on the basis of compromise, none of the issues could be settled. Each step that Atem Athens took to increase her chances to win the war also increased the probability of having a war, and the same held true for any such steps that Sparta took. In the end

In this century America has twice participated in a world war.

In both cases there was a major rational consideration in favor of American participation. If America had permitted Germany to win either of these wars, Germany would have become so strong that she might have defeated America in a subsequent war. The basic premise of the foreign policy of the great powers in the past was that they must not allow themselves to be maneuvered into a situation where any power or likely

coalition of powers could vanquish them. Because of this premise they made alliances so as to jockey for position and keep an eye on the increasing war potential of their potential enemies. It is my contention that as far as Russia and America are concerned, this premise is no longer valid. When America and Russia can destroy each other to any desired degree so that we have an atomic stalemate, and if they adopt a policy that will render this atomic stalemate stable, neither of them can be vanquished by any power or coalition of powers. a military point of view, there is no need for them to be concerned about the rising war potential of any nation. None of the outstanding issues between them on which they were deadlocked in the past have any bearing on the issue of "who is going to win the war." As far as military considerations go, it is not important any longer how much of these issues is settled. The only thing that remains important from the point of view of preserving peace is that each such issue should be settled one way or another. Thus the strategic stalemate makes it possible to reach a settlement the then freeze the status quo.

The zones which either America or Russia will want to protect will be limited in number. As to the rest of the world, the only real interest America and Russia will have is to enforce peace. Unless this is done, we shall have no orderly world development for as long as the small nations can hope to bring about forcibly territorial changes they will have a strong incentive to acquibe atomic armaments. If a number of nations have atomic bombs, the requirements of stability are very difficult to meet even though it is possible to meet these requirements also. I shall not go into this in detail since the reader can easily figure out for himse, f how this can be done. However, if the nations are permitted to spend up to 10% of their income for defense,

Most of them will have spent as much as they can save and nothing will be left for economic development. This would put the burden of their economic development on Russia and the United States, neither of whom is likely to cherish this burden. The cheapest way to relieve these nations of such an unnecessary expenditure for defense seems to be to establish not an international police for but a regional police force with great autonomy even though they may be operated under the auspices of the United Nations. These regional police forces would not be token forces. They would be highly mobile forces, armed with conventional weapons of high fire power, and they would greatly exceed in strength any of those of the nations of the region. task would be to safeguard/territorial integrity of the nations in the region and not to tolerate any changes of boundaries. Even though once Russia and the United States have reached a settlement and we would have created the conditions under which the United Nations could be effective, it woulld seem undesirable to make the United Nations responsible for the direction of these regional police forces. It would appear to be better to give the regional police forces far-reaching autonomy; i.e. the member nations who man these forces should have full direction of them. It is hardly necessary to spell out the details of such a system on this occasion. As long as it is clear that once the automic stalemate can be stabilized. Russia and America Will be able to reach a settlement, and once such a settlement is reached, they will be able to cooperate in the enforcement of peace.

There is a technical point which must be mentioned, however.

The proposal here presented is based on the existence in sufficient quantity of powerful bombs which can destroy a large city without spreacing appreciable quantities of radioactive dust across the sur-

rounding countryside. Do such bombs exist? In the recent past there was an announcement, issued by the Atomic Energy Commission, which dealt with this subject. The announcement bore a certain resemblance to the Phthean oracles, except that it was slightly more ambiguous. The announcement followed the test of a bomb which was detonated at high altitude, and it seemed to indicate that we have discovered the secret of how to make large number bombs which are capable of destroying a city without producing dangerous amounts of radioactive contamination, and it was presented as great good news to the readers of our newspapers. Taking the announcement at its face value, I have ever since been praying to God, let there be a patriotic who will leak the secret of how to make clean bombs to the Russians so that in case of war with Russia our suburban residents will not perish from radioactivity just because the Russians were not able to discover the secret of the clean bombs themselves. When I was asked by my Democrat friends if I favored an agreement with Russia calling for a test of hydrogen bombs. I told them that it might be a mistake to stop Russia from testing atomic bombs unless we are sure they have discovered how to make clean bombs. That clean bombs can be made, we may take for granted but there still remains the question of how fast we can make them and what they will cost. On this score, I do not feel at present reassured. The other day I was greatly troubled when, in one of the physics seminars open to all of the members of the faculty, the speaker indicated that he is seeking advice on what to put around the hydrogen bomb to eliminate the abundant fall-out of radioactive strontium. To make sure that I heard right, I asked him whether the question was was not to put around the hydrogen bomb in order to avoid the fall-out of radioactive strontium. At this he grinned and repeated his genera original question. Are we to conclude

that clean bombs are more difficult to mass produce at a reasonable cost, or what? I submit to you that if it is still necessary to develop the bomb further, both here and in Russia, in order to be able to mass produce clean bombs, from the point of view presented in this paper, we would have to regard it as a major disaster if, contrary to expectation, Russia and America reach an agreement that would stop the further development in this direction, for in the present state of our ignorance, it would be irresponsible to scatter in case of war appreciable amounts of radioactive dust over the face of the earth.

Because of our ignorance of the basic processes of aging, we have no assurance that the comparatively moderate amount of radioactivity may not destroy the human race. Depending upon what theory of aging you wish to believe in, and we cannot at present rule out any of these theories, you may have to conclude that if mankind is exposed to irradiation at a rather moderate level, the age at which scenescence sets in will drop from generation to generation, and that a few generations hence scenescence, which among us sets in somewhere between 65 and 75, may set in between 30 and 40 years of age. Human longevity is very probably the result of a selection which originated during prehistoric times, when women went on bearing children as long as they were capable of doing so and when the population remained stationary because of the high infant mortality. Of the spontaneous mutations which kept on occurring during this period, a few tended to lengthen the childbearing age of women, whereas the majority tended to shorten it. This lifeshort/ening effect of the majority of the mutations was counteracted by selection. The offspring of mothers who bore children up through middle age had a greater representation among the surviving progeny than the offspring of mothers who reached scenescence earlier and stopped bearing children at an earlier age. Nowadays this selection has stopped being operative. The lowered child mortality has forced us to limit the number of children. Children are born early in marriage, and thereafter birth control prevents further pregnancies. With selection for longevity no longer operative, an increase in the mututation rates, such as is brought about by irradiations emanating from radioactive dust, will step by step shorten the child-bearing age of mothers, and hand in hand with this there will be a decrease in the age at which scenescence sets in. As I said before, we do not know enough about the basic of processes of aging to be able to assert that this danter is real, but unless we know for certain that this danter does not in fact exist, who would be willing to advocate this kind of a risk? It is difficult to see why the issue of the clean bomb should be shrouded in secrecy, and it is necessary for us to have the relevant information if we are to make up our minds on how to live with the bomb.

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April 22, 1957

First Draft

Memorandum on "How to Live With the Bomb"

The freuntlyny policy on the bound

That a war in which America and Russia are lined up on opposite sides might lead to an all-out atomic catastrop is well underof theen round stood today, and few people believe that either America or Rustia will deliberately precipitate such a war. Moreover in the present circumstances, it is likely that both America and Russia will become more and more reluctant to take the kind of calculated risks which might lead to war -- risks of the sort that they did not hesitate to take in the past. Such an attitude may well postpone an all-out war, but it is not likely to avert it if we persist in our present basic strategy
concerning the use of the bomb in the foreseeable contingencies.

In the absence of a political softlement between the In the absence of a political settlement between Russia and in the world uphreonols America, it is vety likely that perturbances will continue, and that hefore buy one of these barturbandes will lead to military action and to military le more of total intervention on America's part and Russia's part on opposite sides. Roccol to Intervene on appresse mae .-In such a contingency, according to the prevailing strategy, America would try to limit the fighting to the local area and fight the war there with atomic bombs. It is my contention that there is an inherent instability in this strategy, and that an atomic war fought initially as a local war is likely to trigger all-out atomic destruction. Let us the sake of arfunant, imagine, for instance, that Russia should move troops into Iran and that we are committed to defend Iran and let us make the optimistic assumption that we shall refrain from bombing any of the Russian bases Ochows Prox from which the operations are conducted against Iran or the Russian transport centers. As the war goes on more and more American soldiers,

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as well as more and more Russian soldiers will be killed. The more soldiers are killed the more difficult it will be for either America How then will the we or Russia to stop the war and concede defeat. Un a war where men are killed, emotions are aroused and they increase in intensity until finally rational considerations are abandoned, and the initial limitations imposed by strategy for the sake of avoiding e are two thits comes At the start of the war we may well have imposed on our strategy limi tations because we know that without these limitations the situation will become more and more unstable, until finally one of our acts of war or one of Russia's acts of war will trigger an all-out atomic To ar - just as lothely attack. Under the pressure of increasing emotions, and emotions will the some fore the tombahrans whole me mount as more and more blood is spilled, one limitation after another and to ane by one by and with all simple upon but post & one by and will be abandoned, either under public pressure or through a patriotic until formula one of the disregard of orders by commanders in the field. We might avoid this fate with luck if, as is perhaps not unlikely, in the course of a war Carpented fought in the local area the local prea will be more and more destroyed one where the wir is achually facigli then when destruction is almost total, it might be possible for Russia and America to agree that both abandon the territory which has become utterly devasted and valueless for anybody. This might easily happen, and it is perhaps the only outcome of the war that will save our cities from destruction. One might well ask why, if this be so, we do not adopt/publicly proclaim, in place of the above described strategy, a much simpler and cheaper one. Why do we not publicly proclaim that if Iran is invaded - assuming Iran is under our protection - we shall devastate Iran by bombs from the air until the country is unlivable, and thereby deprive Russia of the enjoyment of the fruits of ber conquest.

The answer to this, I believe, is simple. To publicly proclaim a strategy which runs counter to the elementary requirements of morality is politically impossible. In politics one may frequently do things which are morally wholly unjustified and get away with it, but just because one may do them, it does not follow that one may also say them with impunity. Statesmen endanger their political survival far more through what they way than what they do.

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That destruction of the local area in which the fighting occurs could be avoided if great care is exercised is conceivable, but it is not likely that it will in fact be avoided. We have seen how we have Who anywhere of destroyed the city of Seoul in South Korea for the sake of breaking through just a few days earlier, even though we expected ultimately to win the war. Why should the side which is unable to win the war exercise great care to avoid destruction? Suppose we felt that we were losing the war and had to abandon a country essentially intact to Russian rule. How could we justify conceding defeat after many of our men had died? Could we lreally ask our men to lay down their lives just to make Russia pay a high price for achieving her objective, and thereby make it less likely that she will not repeat the performance elsewhere? Could we really ask men to die for consideration that are as abstract as this one? / I doubt very much that we would do this. we could not win the war, we would prefer to let Russia come to a counand in fact try which has been rendered valueless, and our only choice might be doing just this or expanding the war and risking the triggering of an all-out atomic catastrophe. One might well ask: why fight such a war fort at all? Why not simply declare that if Iran is invaded by Russia, provided of course that IIran places herself under our protection, we shall destroy Iran by bombardment from the air without sacrificing a single soldier, thereby depriving Russia of the fruits of her conquest. answer is hot difficult to guess, for if Iran were told in advance her fate, she would not place herself under our protection and could be I am discussing this matter here from a military point of view, leaving political considerations out of the picture. is phiscuse

It should be obvious here that my discussion here has nothing to do with Iran. There is no reason to believe that huy brokent Russia would have any incentive to move troops into Iran even if by doing so she did not incur the risk of an international conflict. I have chosen Iran as an example precisely because this is the case. It is far easier to discuss we dispassionately a contingency that is manifestly unlikely to occur than a contingency that is likely to occur. It was my purpose to demonstrate, by using this example, that our prevailing In a local unflict. policy on the bomb is a bad policy, because of necessity it will lead in case of a local conflict in which America and Russia intervene militarily on opposite sides, either to the total destruction of the area which is being defended before the fighting can be stopped or else to an expansion of the conflict and the triggering of an all-out atomic catastrophe.

then are the principles on which a sane policy on the bomb must be based? In discussing this problem I shall limit myself below, as I have limited myself up to now, to purely military considerations. pulveres relations Were I to discuss the broader policy of the issue of peace, which is of course the overriding issue to which sooner or later we must devote our attention, I would be quickly led into an unexplored area of politimotivations cal thought. Acreally adequate discussion of the issue of peace is not out of the of that once of a make this cheor possible without doing so. Let us for a moment look at the simpler problem and think for a moment of what it is that keeps peace in the community, and why it is that violent action of one individual against another is the exception rather than the rule. Whatever the reasons those grostowns are, it is clear what we cannot exhaustively deal with them by discussing the organization of the police and the kind of weapons with which the police is equipped. Clearly it is not the police alone that limits the 2 cm

and there violent actions of individuals in an orderly community. When it comes me more agh algo to nations, clearly the broader question is what are the incentives that move nations to action and occasionally to armed action? By creating a will work situation in the world where nations have no incentive to arm and to use force to settle their claims, the role of the defense establishment in the light of the nation will by diminished and may finally become the apprecia negligible. As xxxx, however, as the great nations maintain large deinfluence their policies. fense establishments, military thinking will, frust of necessty greath Because it is the responsibility of the military establishment to be prepared for any conceivable contingency, and because the military establishments are untrained in political thinking and incapable of appraising what contingencies are likely and what contingencies are unlikely to occur, and the fire thinking The governments of the great powers must at present have a policy on the bomb which could be put into action in every conceivable contingency without triggering an all-out atomic attack. The policy must be such as to render the atomic stalemate which is in the offing stable in the sense that even major perturbances do not trigger an all-out atomic in the an atomic statement of attack. Just what precisely is meant by the term atomic stalemate in this context? How are we going to arrive at such a policy? And how are we going to get the governments of the great powers to adopt it? Shall we appeal to the enlightened self-interest of the nations or shall we appeal to the basic moral issue, to which the members of the governments being human beings are to some extent susceptible? axistions that I now shall in terms of political incontrues

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the governments of the great powers are more inclined these days to think in terms of military force rather than in terms of political incentives. Therefore, realistically speaking, the urgent question before us today is this: what policy concerning the bomb should the great powers adopt that could be put into action in every conceivable contingency without invoking the danger of triggering an all-out atomic attack? We are rapidly moving at present toward an atomic stalemate which, depending on the policy that is adopted, might be unstable or stable; i.e. depending on the policy adopted a minor perturbance might trigger an all-out atomic attack or else even major perturbances will fail to do so.

Just what precisely is meant by the term, atomic stalemate, in this context? How are we going to derive a policy concerning
the bomb that fulfills the requirement of stability in such a stalemate? What may induce the governments of the great powers to adopt
such a policy, if one can be found? Shall we appeal to the enlightened
self-interest of the nations or shall we appeal to the basic moral
issues, to which the members of the national governments, being human
beings, are to some extent susceptible? These are the questions that
I now shall try to answer.

replace and policy From a military point of view we must examine all conceivable x contingencies rather than examine what is likely to happen or what bad things are likely to harper and through what political action we could make them less live to pappen. There is no earthly reason why we could not pursue folion that would leave Russie no incentive to move militarily Iran, and as a matter of fact I do not think there is any income for Russia to move into Iran.

Teas up

I have chosen Iran as an example precisely because this is the case; it is far easier to discuss dispassionately a contingency that is manifestly unlikely to occur than a contingency that is likely

to occur.

We are rapidly moving now toward a situation where America and Russia will be able to destroy each other to any desired degree and when neither can cripple through one single plow or even through repeated blows the ability of the other to retaliate. I am not saying that we have reached this stage as yet, but with the technical knowledge at present at hand, such a strategic stalemate can be reached to be altained if only America and Russia consciously pursue the goal to attain it and devote a sufficiently large fraction of their defense budget to the achievement of this goal. It is my contention that such a strategic stalemate will -- depending on the basic philosophy which we have adopted concerning the potential ase of the bomb in the foresecable contingencies -- either be unstable and lead to an all-out atomic catastrophe in spite of the desire of AmericaSand Russia to avoid it else My can be perfectly stable and for the first time in history eleminate the vicious circle of the power conflicts which have led in the past to war between the great powers, such a stable solution is only possible if we somehow rid ourselves of the memory of the past

Insert 4 - Page 5

How shall we go about deriving the right basic philosophy?

Must we ask ourselves what is the morally right thing to do, or shall

we try rationally to think about our enlightened self-interest?

6.

which made the atomic bomb into a symbol of mass murder, and recognize that, although force may be used and although the temptation to use atomic bombs because they are the most potent and cheapest form of force cannot be expected to be resisted, it is possible to adopt a strategy concerning the use of the bombs which is inherently stable, and although it involves the possibility of using the bombs in certain contingencies as an instrument of demolition, it makes it possible to achieve the required stability without threatening -- in any contingency -- and resorting to a war in which people are killed. If this indeed be true, why has it not been proposed before? What are the psychological blocks that have kept people from examining dispassionately the requirements of stability which clearly should be the overriding consideration?

The main theme of this article. Bertram Russell describes in his book, Icarus, how fixed price came to be introduced in England. Up to that time it took protracted negotiations to purchase a pair of shoes. The shopkeeper asked a price which was way too high; the buyer offered a price which was way too low. Through negotiation, finally, an agreement was reached on a price chose to the true value of the merchandise. Some Quaker shopkeepers, who felt it was "wrong" to ask for any merchandise more than it was worth, began to offer fixed prices. This saved the public an unnecessary waste of time, they favored the shops which offered fixed prices, and the Quaker merchants became prosperous. Russell remarks that the same conclusion could have been reached by any merchant on the basis of enlightened self-interest, but it remains a fact that the conclusion was not reached on this basis.

It is important for us to ask ourselves why this was so. For one thing, it is frequently easier for individuals, as well as for governments, to find out what the right thing to do is than to discover the expedient course of action. For instance, during the Korean war after American troops had pushed the north Korean troops who had invaded south Korea back to the 38th parallel, it was perfectly obvious that the right thing to do was to stop there. Whether or not China would intervene if we did cross the 38th parallel, it was impossible to say with any degree of assurance. It would have been possible to argue this issue back and forth forever, and there was not much time in which to decide whether to stop at the 38th parallel or to go forward. Thus we took a calculated risk, crossed the 38th parallel, and it turned out to be a miscalculated risk. In the atomic stalemate which is approaching, there will not be much of a margin left for error and we had better not take miscalculated risks. hat holds for governmental decisions also holds for the decisions of individuals like the shopkeepers interest/in a traditional manner. They are guided by precedence and the experience of many generations. It occurs only rarely that moral considerations interfere or have to be taken into account. But when the opportunity arises for a radical innovation -- such as the introduction of fixed prices -- it becomes manifest that the rational pursuit of enlightened self-interest does not come naturally to individuals. Individuals are governed by emotions, desires, and passions as well as by traditions. Deprived of the guidance of tradition few, if any, are capable of a consistent pursuit of regional considerations. Hence useful social

innovations rarely come about as a result of the pursuit of unlightened self-interest. Since individuals who happen to have a passion for the doing/right & thing are not numerous either, yet they outnumber the former and exert on occasion a measurable effect on human progress.

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and in addition most individuals are to some extent susceptible to an appeal which is made on moral grounds. (Governments, however, do not act like individuals even though they are composed of individuals. selfishness of individuals is mitigated by their occasional generosity but people delegate to their governments only their self-interest, not their generosity. The governments represent the people by and large in the manner in which a lawyer represents his client/ This means that governments cannot be expected to give much weight to moral consideracan therefore and tions and the only effective appeal to them must be based on considerations of enlightened self-interest. This does not mean that governments are evil; it only means that they are amoral, and even this is not entirely true for overnments are composed of individuals who are not devoid of Unfortunately, this makes matters frequently (rather worse conscience. For these consciences prevent the governments from embarka manifestly which is ing on an evil course based on strictly rational considerations of enand they onbest huted. lightened self-interest of the nation for which there is often frequently substituted an irrational course of action which appears to be less evil But but leads to consequences which (are equally bad. //It is frequently easier to find out what the right thing to do is than to discover the expedient course of action / But governments are, by and large, precluded from being guided in the direction of what is the "right thing to do".

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was not much time in which to decide whether to stop at the 38th parallel or to go forward. Thus we took a calculated risk, crossed the 38th parallel, and it turned out to be a miscalculated risk. In the atomic stalemate which is approaching, there will not be left much of a margin for error and we had better not take miscalculated risks.